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**U.S. DEPARTMENT OF STATE
DIRECTORATE OF DEFENSE TRADE CONTROLS**

**REQUEST FOR COMMODITY JURISDICTION (CJ)/U.S. MUNITIONS LIST
(USML) DETERMINATION**

*Transaction Number:

Please note that an Asterisk (*) next to a field or block in a document designates a mandatory field or block.

No classified information can be included in this request. Classified information must be sent separately to PM/DDTC in accordance with the Defense Security Service guidelines.

Classified information is being sent under separate cover

To select and open a document, highlight a form and select the "Open Document" button. The document that you selected will open.

Required Documents	Included Documents
DS-4076 Descriptive Literature Specification sheets	
Open Document	Open Document

Optional Documents
Marketing Literature Letter of authorization from Manufacturer List of Sales/Exports Design Technical Data Manufacturing/Design Drawings DoS Licenses DoC Licenses Other Amplifying Data
Open Document

	Date Received	
	Case No.	

UNITED STATES OF AMERICA DEPARTMENT OF STATE

REQUEST FOR COMMODITY JURISDICTION (CJ)/U.S. MUNITIONS LIST (USML) DETERMINATION

*1. Date Prepared <input style="width: 150px;" type="text"/>	*2. This submission is from (select one) <input style="width: 300px;" type="text"/> Please specify <input style="width: 150px;" type="text"/>
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<p>*3. Information on Requester</p> <p>a. Name and Address of Requester</p> <p>Name <input style="width: 200px;" type="text"/> Attention <input style="width: 200px;" type="text"/> Address <input style="width: 200px;" type="text"/> City <input style="width: 200px;" type="text"/> State <input style="width: 80px;" type="text"/> ZIP Code <input style="width: 80px;" type="text"/> Telephone # <input style="width: 100px;" type="text"/> Ext. <input style="width: 50px;" type="text"/></p> <p>b. Requester's Point of Contact: Name, telephone number, FAX number, and email address if U.S. Government needs additional information</p> <p>Name <input style="width: 200px;" type="text"/> Telephone # <input style="width: 100px;" type="text"/> Ext. <input style="width: 50px;" type="text"/> Fax # <input style="width: 150px;" type="text"/> Email Address <input style="width: 200px;" type="text"/></p> <p>c. Is, or has the requester been registered with PM/DDTC?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No PM/DDTC Applicant/Registrant Code <input style="width: 50px;" type="text"/></p> <hr/> <p>*5. Type of Request (select one from either a or b)</p> <p>a. Request for commodity jurisdiction</p> <p><input type="checkbox"/> CJ determination that product is or is not covered by the USML</p> <p><input type="checkbox"/> CJ request to remove article from USML</p> <p><input type="checkbox"/> Request for reconsideration of a previous CJ determination</p> <p>Case Number: <input style="width: 150px;" type="text"/></p> <p>b. Request for USML determination</p> <p><input type="checkbox"/> Confirmation for USML Category</p> <p><input type="checkbox"/> Change a USML Category</p>	<p>*4. Information on Entity(ies) on behalf of which request is submitted</p> <p><input type="checkbox"/> Same as Block 3</p> <p>a. Name and Address of Entity</p> <p><input type="checkbox"/> Subsidiary <input type="checkbox"/> Add Subsidiary Information</p> <p>Name <input style="width: 200px;" type="text"/> Attention <input style="width: 200px;" type="text"/> Address <input style="width: 200px;" type="text"/> City <input style="width: 200px;" type="text"/> State <input style="width: 80px;" type="text"/> ZIP Code <input style="width: 80px;" type="text"/></p> <p>Country <input style="width: 150px;" type="text"/></p> <p>Telephone # <input style="width: 100px;" type="text"/> Ext. <input style="width: 50px;" type="text"/></p> <p>b. Point of Contact: Name, telephone number, FAX number and email address if U.S. Government needs additional information</p> <p>Name <input style="width: 200px;" type="text"/> Telephone # <input style="width: 100px;" type="text"/> Ext. <input style="width: 50px;" type="text"/> Fax # <input style="width: 150px;" type="text"/> Email Address <input style="width: 200px;" type="text"/></p> <p>c. Is, or has the Entity been registered with PM/DDTC</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown</p> <p>PM/DDTC Applicant/Registrant Code <input style="width: 50px;" type="text"/></p> <p><input type="button" value="Add More Entities"/></p>
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6. Rationale for change of a USML Category or reconsideration of previous commodity jurisdiction determination

[View Full Description](#)

7. Description of Product

***a. Type of Product (select all that apply)**

 Technical Data Services Hardware

***b. Product Name**

c. Model Number

d. Part Number

***e. Manufacturer Name and Address**

 Same as Block 3 Same as Block 4

Name	<input type="text"/>	
Address	<input type="text"/>	
City	<input type="text"/>	
State	ZIP Code	<input type="text"/>
Country	<input type="text"/>	

[Add More Manufacturers](#)

***f. The product that is the subject of the request is (select one)**

 New Currently being produced In development

***g. Is the product currently being marketed?**

 Yes No

***8. Define the product or service or the product for which the service will be provided (see 22 CFR 121.8 for definitions) (select one)**

<input type="checkbox"/> End Item	<input type="checkbox"/> Component major	<input type="checkbox"/> Component, minor	<input type="checkbox"/> Part	<input type="checkbox"/> Accessory/attachment	<input type="checkbox"/> Firmware	<input type="checkbox"/> Software	<input type="checkbox"/> System
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***9. Military/Commercial Modifications**

- a. Has the product or service in Block 7 been specifically designed, developed, or configured for a military application? Yes No
- b. Has the product or service in Block 7 been specifically adapted or modified for a military application? Yes No
- c. Was the commodity a Military article or service adapted, reconfigured or modified for commercial use? Yes No
- d. Define in specific detail the modifications/changes and the capabilities of the product or service. There have been no modifications/changes

[View Full Description](#)

***10. Describe the product's use (what it does, how it works, and the components/end items/systems in which it is used) and identify any special characteristics/capabilities**

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View Full Description

***11. The product was originally designed, developed, configured, adapted and/or modified for (select one)**

<input type="checkbox"/> Use by a U.S. Government Agency	<input type="checkbox"/> Use by a Foreign Government Agency	<input type="checkbox"/> Defense firm	<input type="checkbox"/> Commercial application
<input type="checkbox"/> Other	Please specify		

***12. The funding source(s) for the product's development was (select at least one)**

<input type="checkbox"/> Army	<input type="checkbox"/> Navy	<input type="checkbox"/> Air Force	<input type="checkbox"/> NASA	<input type="checkbox"/> Other Private Source
<input type="checkbox"/> Homeland Security	<input type="checkbox"/> DARPA	<input type="checkbox"/> NSA	<input type="checkbox"/> Applicant	<input type="checkbox"/> Other U. S. Government Source

Please specify

13. Identify the U.S. Government program(s) that provided the funds and a U.S. Government point of contact

<table border="1" style="width: 100%;"> <tr> <td style="width: 20%;">1. Program</td> <td></td> </tr> </table>	1. Program		<table border="1" style="width: 100%;"> <tr> <td style="width: 60%;">POC Name</td> <td colspan="3"></td> </tr> <tr> <td>Agency</td> <td colspan="3"></td> </tr> <tr> <td>Telephone #</td> <td></td> <td style="width: 10%;">Ext.</td> <td></td> </tr> </table>	POC Name				Agency				Telephone #		Ext.	
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POC Name															
Agency															
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Add More Programs

***14. Existing Market Information**

a. The existing markets for the products are (select one)

- Commercial
- Military
- Commercial and Military
- Produced, None Exist
- None, Currently in development

b. Specify the number of units sold in each market for the past 10 years

Year (YYYY)					
Commercial Units					
Military Units					
Total Units Sold	0	0	0	0	0
Year (YYYY)					
Commercial Units					
Military Units					
Total Units Sold	0	0	0	0	0

c. Identify the types of U.S. customers to which the products have been sold (select at least one)

<input type="checkbox"/> DoD	<input type="checkbox"/> Defense Contractors/Industry	<input type="checkbox"/> National Laboratory	Please specify the laboratory	
<input type="checkbox"/> Government Agencies	<input type="checkbox"/> Commercial Industry	<input type="checkbox"/> None		

d. Provide all the current uses for the product

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View Full Description

e. Identify the types of foreign customers to which the product has been sold (select at least one)

<input type="checkbox"/> MOD	<input type="checkbox"/> National Police	<input type="checkbox"/> Coast Guard
<input type="checkbox"/> Border Security	<input type="checkbox"/> Commercial Security	<input type="checkbox"/> Customs
<input type="checkbox"/> Gendarmerie	<input type="checkbox"/> Government Owned Airlines	<input type="checkbox"/> Commercial Airlines
<input type="checkbox"/> Government Intelligence Agencies	<input type="checkbox"/> Defense Contractors/Industry	<input type="checkbox"/> None
<input type="checkbox"/> Other	If other, please specify	

***15. Has this product or any variant been exported?** Yes No Unknown

If yes, under whose licensing jurisdiction; (Select all that apply)

a. State

Enter License and/or Agreement Number(s)
--

b. Commerce

Enter Case Number(s)

c. Other

Please specify

Enter Case Number(s)

***16. The requester has knowledge that USML Determination/Commodity Jurisdiction (CJ) (select one)**

- Has never been requested for this product Unknown
- Has been requested
- Has been requested and completed Case Number:

***17. The requester has knowledge that a Commodity Classification (select one)**

- Has never been requested for this product Unknown
- Has been requested Case Number:
- Has been requested and completed ECCN:

*** 18. Identify the USML or ECCN Category that you believe currently controls the product. (select one)**

- USML Category, Paragraph and Subparagraph
- CCL ECCN (e.g. 2A000)
- Unknown

USML Category	USML Sub Category	USML Commodity

If you identified an USML or ECCN, provide rationale for selection of number

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*** 19. Is the product that is the subject of this request also the subject of an enforcement matter? Yes No**

a. If yes, what is the kind of enforcement matter? (select at least one)

- Detainment/seizure DDTC Voluntary Disclosure Office of Export Enforcement (OEE)/Department of Commerce (DOC)
- Civil Proceeding Criminal Proceeding
- Other Please specify

b. If yes, provide details of the enforcement activity

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20. Provide any additional information that might assist in processing your request

**View Full
Description**

*** 21. Abstract of the Case Disposition for Public Disclosure**

a. Would you have any objections to the Government publishing (e.g., DDTC Internet Website) the language describing the product as stated in Block 7a?

Yes No

b. Provide a description of the product that does not include proprietary or other controlled information so that an abstract of the case disposition may be posted on the DDTC Internet web site for public access and information

**View Full
Description**

c. If you object to such a posting, provide detailed rationale for DDTC consideration

**View Full
Description**

Subsidiary Information under Block 7, Page 3 of the DS-4076

Name	
Attention	
Address	
City	

State		ZIP Code	
Telephone #		Ext	

Continuation to Block 15, Page 5 of the DS-4076

The product was previously authorized for export from the U.S.

a. by State

license number(s)

agreement (technical assistance agreement or manufacturing agreement) number(s):

b. by Commerce Provide case number(s):

c. by Other Provide reference number(s):
